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1-88 U.S. PTO

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PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	800626
First Inventor	Butcher, Gregory
Title	ASSESSMENT SYSTEM
Express Mail Label No.	EL 908359659 US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1.	<input checked="" type="checkbox"/>	Fee Transmittal Form (e.g., PTO/SB/17) <i>(Submit an original and a duplicate for fee processing)</i>	
2.	<input checked="" type="checkbox"/>	Applicant claims small entity status. See 37 CFR 1.27	
3.	<input checked="" type="checkbox"/>	Specification [Total Pages 15] <i>(preferred arrangement set forth below)</i> - Descriptive title of the invention - Cross Reference to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the invention - Brief Summary of the invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure	
4.	<input checked="" type="checkbox"/>	Drawing(s) (35 U.S.C. 113) [Total Sheets 2]	
5.		Oath or Declaration [Total Sheets 2]	
	a.	<input checked="" type="checkbox"/> Newly executed (original or copy)	
	b.	<input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) <i>(for continuation/divisional with Box 18 completed)</i>	
	i.	<input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)	
6.	<input type="checkbox"/>	Application Data Sheet. See 37 CFR 1.76	

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

7.	<input type="checkbox"/>	CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8.	<input type="checkbox"/>	Nucleotide and/or Amino Acid Sequence Submission <i>(if applicable, all necessary)</i>
	a.	<input type="checkbox"/> Computer Readable Form (CRF)
	b.	Specification Sequence Listing on:
	i.	<input type="checkbox"/> CD-ROM or CD-R (2 copies); or
	ii.	paper
	c.	<input type="checkbox"/> Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9.	<input type="checkbox"/>	Assignment Papers (cover sheet & document(s))
10.	<input type="checkbox"/>	37 CFR 3.73(b) Statement of Power of Attorney <i>(when there is an assignee)</i>
11.	<input type="checkbox"/>	English Translation Document <i>(if applicable)</i>
12.	<input type="checkbox"/>	Information Disclosure Statement (IDS)/PTO-1449 [Copies of IDS Citations]
13.	<input type="checkbox"/>	Preliminary Amendment
14.	<input checked="" type="checkbox"/>	Return Receipt Postcard (MPEP 503) <i>(Should be specifically itemized)</i>
15.	<input checked="" type="checkbox"/>	Certified Copy of Priority Document(s) <i>(if foreign priority is claimed)</i>
16.	<input type="checkbox"/>	Nonpublication Request under 35 U.S.C. 122 <i>(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.</i>
17.	<input checked="" type="checkbox"/>	Other: <input type="checkbox"/> Credit Card Payment Form (PTO-2038).....

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

<input type="checkbox"/> Continuation	<input type="checkbox"/> Divisional	<input type="checkbox"/> Continuation-in-part (CIP)	of prior application No. _____
Prior application information		Examiner	Group Art Unit _____

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

<input checked="" type="checkbox"/>	Customer Number or Bar Code Label	(Insert Customer No. of _____)	or	<input type="checkbox"/>	Correspondence address below
Name	Taylor Russell & Russell, P.C. 23372				
Address	4807 Spicewood Springs Road Building One, Suite 1200				
City	Austin	State	TX	Zip Code	78759
Country	US	Telephone	512-338-4601	Fax	512-338-4651

Name (Print/type)	Douglas D. Russell	Registration No. (Attorney/Agent)	40152
Signature	<i>Douglas D. Russell</i>	Date	January 3, 2002

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231

FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 487

Complete if Known

Application Number	
Filing Date	January 4, 2002
First Named Inventor	Butcher, Gregory
Examiner Name	
Group Art Unit	
Attorney Docket No	800626

METHOD OF PAYMENT (check all that apply)

☐ Check ☒ Credit card ☐ Money Order ☐ Other ☐ None

☐ Deposit Account

Deposit Account Number _____
Deposit Account Name _____

The Commissioner is authorized to: (check all that apply)

- ☐ Charge fee(s) indicated below ☐ Credit any overpayments
☐ Charge any additional fee(s) during the pendency of this application
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 740	201 370	Utility filing fee	370
106 330	208 165	Design filing fee	
107 510	207 255	Plant filing fee	
108 740	208 370	Resubmission filing fee	
114 160	214 80	Provisional filing fee	

SUBTOTAL (1) (\$) 370

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Extra Claims	Fee from below	Fee Paid
33	20** = 13 X	9	117
2	3** = 0 X	0	0
Multiple Dependent			

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103 18	203 9	Claims in excess of 20
102 84	202 42	Independent claims in excess of 3
104 280	204 140	Multiple dependent claim, if not paid
109 84	209 42	** Resubmission independent claims over original patent
110 18	210 9	** Resubmission claims in excess of 20 and over original patent

SUBTOTAL (2) (\$) 117

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	
139 130	139 130	Non-English specification	
147 2,520	147 2,520	For filing a request for ex parte reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	
116 400	216 200	Extension for reply within second month	
117 920	217 460	Extension for reply within third month	
118 1,440	218 720	Extension for reply within fourth month	
128 1,980	228 990	Extension for reply within fifth month	
119 320	219 160	Notice of Appeal	
120 320	220 160	Filing a brief in support of an appeal	
121 280	221 140	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1,260	241 630	Petition to revive - unintentional	
142 1,260	242 630	Utility issue fee (or resubmission)	
143 480	243 240	Design issue fee	
144 620	244 310	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Processing fee under 37 CFR 1.17(g)	
126 180	126 180	Submission of Information Disclosure Sheet	
561 40	581 40	Recording each patent assignment per property (times number of properties)	
146 740	246 370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149 740	249 370	For each additional invention to be examined (37 CFR § 1.129(b))	
179 740	279 370	Request for Continued Examination (RCE)	
169 900	169 900	Request for expedited examination of a design application	

Other fee (specify) _____

SUBTOTAL (3) (\$) 0

*for number previously paid, if greater; For Resubmissions, see above

*Reduced by Basic Filing Fee Paid

SUBMITTED BY

Name (Print/Type) Douglas D. Russell
Signature Douglas D. Russell

Registration No (Attorney/Agent) 40152 Telephone 512-338-4601
Date January 3, 2002

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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Express Mail Certificate under 37 C.F.R. 1.10

I hereby certify that the application/correspondence attached hereto is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR § 1.10 on the date indicated below and as addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Date of Deposit: January 4, 2002;

Express Mail Label No.: EL 908359859 US

Ellen Huffman

Typed Name of Person Signing

Ellen Huffman

Signature of Person Signing